

H.M. Gladney
HMG Consulting LLC

13 February 2002

LEP & REF
Pwm #307

#3 / Reg for
Refund

03-27-02



Office of the Asst. Commissioner for Patents
USPTO
Washington, D.C.

Dear Sir or Madam:

This is a request for refund of \$586.00 which was a duplicate collection of filing fees caused as a consequence of a problem with U.S. Post Office delivery problem, possibly a side effect of the December 2001 anthrax scare.

The facts, evidenced by attachments, are that on 11th December 2001 I submitted an application with my cheque #136. That disappeared in the mails; 3 weeks later the U.S. Post Office trace of the certified letter could not find it; so I assumed it permanently lost and resubmitted, this time with my cheque #139. Each cheque was for \$586. (Images of both superposed on images of your fee transmittal forms are attached).

The duplicate submission was acknowledged on 4th Jan 2002 with your number **JC826 U.S. PTO 10/039143** and my bank paid cheque #139 a couple of weeks later. Then the first submission must have arrived (seven weeks after it was mailed) and was acknowledged on 15th Jan 2002 with your number **J1002 U.S. PTO 10/047392**. (Attached is a single photocopy that shows both the 4th Jan and the 15th Jan actions of your office. My bank paid cheque #136 at the beginning of February, as I noticed just a few days ago.

Please combine your office actions for these two applications, as they are identical. And please refund one fee of \$586. at your earliest convenience.

Yours truly,


H.M. Gladney

Attachment: 3 photocopy sheets as described above.

01/15/02

JC984 U.S. PTO

Please type a plus sign (+) inside this box → ⊕

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

N/A

First Inventor

Henry Martin GLADNEY

Title

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **19**]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **6**]
5. Oath or Declaration [Total Pages **2**]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:Assistant Commissioner for Patent's
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____ / _____

Prior application information:

Examiner _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or

☒ Correspondence address below

Name

H.M. GLADNEY

Address

20044 GLEN BRAE DRIVE

City

SARATOGA

State

CALIF.

Zip Code

95070

Country

USA

Telephone

(408) 867-5454

Fax

(408) 867-3933

Name (Print/Type)

H.M. GLADNEY

Registration No. (Attorney/Agent)

N/A

Signature

H.M. Gladney

Date

11th Dec 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

01/04/02

JC887 U.S. PTO

Please type a plus sign inside the circle. **OFFICE**
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PTO/SB/05 (03-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL

FEB 27 2002

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	N/A
First Inventor	Henry Martin GLADNEY
Title	
Express Mail Label No.	

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
-----------------------------------------------------------------------------------------------------	---------------------------------------------------------------------------------------------------------

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(Submit an original and a duplicate for fee processing)
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See 37 CFR 1.27.
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(preferred arrangement set forth below)
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5. Oath or Declaration [Total Pages **2**]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.62(d))
 - i. ☐ **DELETION OF**
Signed statement attested and named in the prior application
1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS	
9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee)	<input type="checkbox"/> Power of Attorney
11. <input type="checkbox"/> English Translation Document (if applicable)	
12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449	<input type="checkbox"/> Copies of IDS Citations
13. <input type="checkbox"/> Preliminary Amendment	

JC826 U.S. PTO
10/039143

Submitted
Patent Application (19 pages + 6 pages of drawings)

18. If a CONTINUING APPLICATION, or in an Application Data Sheet under
☐ Continuation ☐ Divisions
Prior application information: **Ex**
For CONTINUATION OR DIVISIONAL APPLICATION, Box 5b, is considered a part of the disclosure. The incorporation can only be relied upon.

Completed Forms
PTO/SB/01 (2 pages)
PTO/SB/1101A
PTO/SB/05
PTO/SB/17

J1002 U.S. PTO
10/047392
01/15/02

☐ Customer Number or Bar Code Label

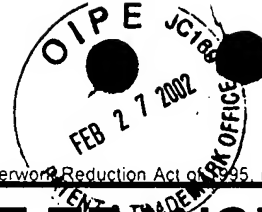
Name **H.**
26
Address

Personal cheque for \$586.00

City	SARATOGA	State	CALIF.	Zip Code	95070
Country	USA	Telephone	(408) 867-5454	Fax	(408) 867-3933

Name (Print/Type)	H. M. GLADNEY	Registration No. (Attorney/Agent)	N/A
Signature	<i>H.M. Gladney</i>	Date	11th Dec 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



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FEE TRANSMITTAL

for FY 2002

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)
586.00

Complete if Known

Application Number	
Filing Date	11 th DEC 2001
First Named Inventor	HENRY M. GLADNEY
Examiner Name	
Group Art Unit	
Attorney Docket No.	N/A

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number	
Deposit Account Name	

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	370
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					(\$) 370

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims		Extra Claims		Fee from below		Fee Paid
Independent	30	-20**	= 10	X	9	= 90
Multiple Dependent	6	-3**	= 3	X	42	= 126

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)
216

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code	Fee	Fee Paid
105		
127	136	
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H. M. GLADNEY
HMG CONSULTING
PH. 408-867-5454
20044 GLEN BRAE DR.
SARATOGA, CA 95070
Pay to the Order of

Commissioner of Patents & Trademarks
Five Hundred eighty-six
Date Dec 11, 2001
\$ 586.00
Dollars XX XX

WELLS FARGO
Wells Fargo Bank, N.A.
California
www.wellsfargo.com

H. M. Gladney

11-4288-4305
12/10
2011151370
0136

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)
0

SUBMITTED BY

Name (Print/Type)	HENRY M. GLADNEY	Registration No. (Attorney/Agent)	N/A	Telephone	(408) 867-5454
Signature	<i>H. M. Gladney</i>	Date	12/11/01		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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FEE TRANSMITTAL
for FY 2002

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☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) 586.00

Complete if Known

Application Number

Filing Date

11th DEC 2001

First Named Inventor

HENRY M. GLADNEY

Examiner Name

Group Art Unit

Attorney Docket No.

N/A

METHOD OF PAYMENT (check all that apply)☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:Deposit Account Number
Deposit Account Name

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☐ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity | Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
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SUBTOTAL (2) (\$) 216

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity | Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

0

SUBMITTED BY

Name (Print/Type)

HENRY M. GLADNEY

Registration No. (Attorney/Agent)

N/A

Complete (if applicable)

Telephone

(408) 867-5454

Signature

HMG Gladney

Date

12/11/01

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, DC 20231
www.uspto.gov

H. M. Gladney
20044 Glen Brae Drive
Saratoga, CA 95070

In re Application of
Gladney, Henry M.

Filed: 01/04/02

Application No. 10/039143

Attorney Docket No.

For: Method, system, and data structure for
trustworthy digital document interchange
and preservation

: LETTER REGARDING
: MERGING OF APPLICATION
: FILES
:
:

☒ This is a response to the requests filed on 02/27/02 and 07/17/02, in above-identified application, requesting that the file of the above-identified application and the file that contains the later-received application papers be merged. The request is **granted**.

Applicant is hereby notified that the file of the above-identified application and the file that contains the later-received application papers will be **merged**.

All papers in the file that contains the later-received papers will be placed in application No. 10/039143. All future correspondence concerning the application should be directed to this application number.

Application No. 10/047392, assigned to the file that contains the later-received papers, will no longer be active.

☒ The filing fee paid in the file that contains the later-received papers will be refunded.

☒ All notices mailed by the Office in the file that contains the later-received papers are hereby vacated.

2/27/02

Telephone inquiries concerning this communication should be directed to the Customer Service Center, Office of Initial Patent Examination Division, at (703) 308-1202.

Delora Willard 9/27/02

2/27/02